Linguistics

370 Temple Street, Rm. 204, 203.432.2450
http://ling.yale.edu
M.A., M.Phil., Ph.D.

Chair
Raffaella Zanuttini

Director of Graduate Studies
Veneeta Dayal

Professors Claire Bowern, Veneeta Dayal, Robert Frank, Laurence Horn (Emeritus),
Frank Keil,* Zoltán Szabó,* Petronella Van Deusen-Scholl (Adjunct; Center for Language Study),
Douglas Whalen (Adjunct; Haskins Laboratories), Raffaella Zanuttini

Associate Professors Maria Piñango, Kenneth Pugh (Adjunct; Haskins Laboratories),
Jason Shaw

Assistant Professors Natalie Weber, Jim Wood

* A joint appointment with primary affiliation in another department.

Fields of Study
The Department of Linguistics embraces an integrative approach to the study of language, based on the premise that an understanding of the human language faculty arises only through the combination of insights from the development of explicit formal theories with careful descriptive and experimental work. Members of the department offer courses and conduct research in which theoretical inquiry proceeds in partnership with historical and comparative studies, fieldwork, experimental work, cognitive neuroscience, and computational and mathematical modeling. Faculty expertise includes all of the major domains of linguistics (phonetics, phonology, syntax, semantics, pragmatics) and spans a wide range of languages.

Special Requirements for the Ph.D. Degree
Course Work
The conception of linguistics embraced by the Yale Ph.D. program requires that students receive training that is both deep in its coverage of areas of linguistic inquiry and broad in the range of methodological approaches. The course work requirements are designed to accomplish these complementary goals. This course work includes a set of courses designed to expose students to core ideas, together with courses equipping students with a range of methodologies in linguistic research.

During their first two terms, students must take LING 519, Perspectives on Grammar. During their first five terms students must complete a minimum of eleven additional term courses at the graduate level. During the initial two years of course work, students must receive at least three grades of H (= Honors). Two or more grades below HP (= High Pass) during the initial two-year period constitute grounds for dismissal from
the Ph.D. program. As per Graduate School general regulations, grades of F cannot be counted toward degree requirements.

**Foundational courses** This requirement ensures that students achieve breadth in several linguistic subfields. Students take six courses in four or more subfields of linguistics. The following courses satisfy this requirement: LING 612, Language Change; LING 636, Articulatory Phonology; LING 631, Neurolinguistics, or LING 617, Language and Mind; LING 635, Phonology II; LING 654, Syntax II; LING 664, Semantics II; LING 680, Morphology; LING 796, Semantic Investigations in an Unfamiliar Language.

Students will decide on their courses, in consultation with the director of graduate studies (DGS) and other faculty, when they arrive on campus. Other sufficiently advanced courses may also satisfy the requirement.

**Methodology courses** For the methodology requirement, students must take three relevant courses. The following courses, which are offered regularly by the department, qualify, but other courses may as well, to be determined in consultation with the adviser and DGS: LING 600, Experimentation in Linguistics; LING 619, The Evolution of Language and Culture; LING 624, Mathematics of Language; LING 627, Language and Computation I; LING 631, Neurolinguistics; LING 636, Articulatory Phonology; LING 641, Field Methods; an advanced course in statistics (e.g., S&DS 538, S&DS 563, S&DS 661, or PSYC 518).

One of the methodology courses must be taken during the first year of the program, and two must be completed by the end of the second year. Courses cannot simultaneously satisfy the foundational and methodology requirements.

**Seminar courses** Graduate students are active participants in department reading groups and seminars. Students should participate in three advanced seminars in which they read the original literature of the field and write a research paper. With permission of their adviser and the DGS, students may enroll in the appropriate 790s-numbered LING course and count active participation in a department reading group, including the submission of a final research paper, as satisfying this requirement.

**Research**

The primary focus of a Ph.D. program is independent research. In the course of our Ph.D. program, students carry out cutting-edge linguistic research, culminating in the completion of a dissertation. To help students in the transition from “consuming” to also “producing” linguistic research, there are a number of structures and requirements in place.

**Research adviser and first-year directed readings** By the end of the first term of the program, students find a department faculty member who acts as their research adviser. This choice should be made on the basis of compatibility of research interests and discussions between the student, faculty member, and DGS. Starting from the spring term of the first year, students will, with the help of their adviser, define a topic of research interest, meeting regularly (minimally once every three weeks) and carrying out a series of readings on this topic. Students should keep a research journal, describing their readings and how they fit in with work in the area, and chronicling the development of their thinking about the research topic. It is the faculty’s expectation
that this exploration will form the foundation for the research reported in the student’s
first qualifying paper (on which see below). Note however that the initial choice of
research adviser is not binding: students who want to change their choice of topic or
adviser for whatever reason may do so. It is the student’s responsibility to find a suitable
adviser, and students are expected to have a faculty adviser at all times during their
enrollment in the program. Some students have two faculty co-advisers.

Portfolio At the conclusion of the first year of the program, students submit to the
faculty a portfolio of two research papers, in two distinct areas (as listed above). These
papers should demonstrate a student’s mastery of the material in these fields to the
level covered in the foundational courses in the area, as well as the ability to identify a
significant research question and argue for a possible solution. In short, such papers
should be at the level of an excellent term paper, representative of a student’s best
work during the first year of course work. The faculty do not expect students to write
papers expressly for the portfolio. Rather, the portfolio will typically consist of term
papers from courses taken during the first year in the program. The deadline for the
submission of these papers is May 10 each year.

Annotated bibliography/research plan On the basis of the research journal begun
during the first year in the program, students will prepare an annotated bibliography
and research plan (ABRP) for their first qualifying paper. The ABRP, which should
be approximately twenty pages in length, should lay out the question that the student
wants to explore, motivating its importance through a presentation and synthesis
of relevant past literature on the topic. The deadline for submission of the ABRP is
September 10.

Qualifying papers Once the ABRP has been completed, the student will proceed to
work on the qualifying papers (QPs). The goal of the QPs is to develop a student’s
ability to conduct independent research in linguistics at the level of current scholarship
in two different areas of linguistics. The faculty expect a QP to report on the results of
a substantial project, which are written up in a manner consistent with the standards
of the field, and to be eventually published in an academic journal or working papers.
Students are strongly encouraged to identify a target journal early in the project.
The process of writing the first QP is broken into a number of smaller steps with
specific deadlines for each (all during the second year of the program). (1) Students
discuss their preliminary results in an appropriate venue (lab meeting, reading group,
seminar, etc.) by no later than the end of the fall term. (2) Also by the end of the fall
term, the student will send a request for a QP reader to the DGS. This request must
include a title and brief summary of the project, and may also request specific faculty
members to be involved. On the basis of research area and faculty availability, the
DGS will identify a faculty member other than the adviser to serve as a QP reader.
This reader will be involved in the ultimate evaluation of the QP once it is completed.
Because it is useful to get a range of feedback on one’s work, we encourage students to
make the best use of their QP reader by meeting with them and keeping them up to
date on the progress of the project. (3) Students must submit a first draft of their QP
to their adviser and reader no later than February 1. (4) Students present their work to
the department at the yearly "QPFest," shortly before spring recess. This takes the form
of a twenty-minute conference talk to members of the department. (5) Students must
submit the final version of the paper to their adviser and reader by March 31. Toward
the end of the spring term of the second year, the student should begin to explore possible areas and advisers for the second QP, and must have identified an area and adviser by September 1 of the third year. Students follow the same steps and deadlines listed above for the second QP, this time during the third year.

The second QP should be in a different area of linguistics, with a different adviser, from the first QP. It is particularly important that students make satisfactory progress toward the first QP and complete all work by the relevant deadlines. Failure to do so may result in being asked to leave the program.

**Prospectus** No later than the beginning of the sixth term (that is, the spring term of the third year), students choose a dissertation topic and dissertation director. By the beginning of the fourth year, students will present a dissertation prospectus to the entire faculty. The prospectus should lay out clearly the student’s proposed dissertation topic. It should motivate the importance of the topic, present the core idea of the proposed work together with its promise and viability, and demonstrate how this work fits into past research in the area. The prospectus should also identify a dissertation committee. The committee must include at least three faculty members (including the adviser), two of whom must be ladder faculty in the Linguistics department. The prospectus document should be about fifteen pages in length. After it is submitted, the prospectus is defended orally in front of the faculty. Upon successful completion of the prospectus defense, students advance to Ph.D. candidacy.

**Dissertation** By the end of the seventh term, students must complete a chapter of the dissertation, together with a detailed outline of the dissertation and comprehensive bibliography. When the dissertation committee approves the chapter and dissertation outline, students are eligible for a University Dissertation Fellowship, which will support them in their fifth year of graduate study. Once advanced to candidacy, the student will meet with the entire dissertation committee minimally once each term (but with frequency decided by the committee), to evaluate progress toward the dissertation. During this meeting, the committee will complete the committee meeting form, will provide a copy to the student, and will retain one for the department’s records.

Students are expected to complete their dissertations by the end of the sixth year. At least one month prior to the dissertation filing date, the completed dissertation must be orally defended. This defense will typically involve a public presentation of the main results of the dissertation and oral examination by the members of the dissertation committee. Committee members must be given the completed dissertation no less than two weeks prior to the date of the defense.

**Language Requirement**

Students are expected to exhibit some breadth in their knowledge of the languages of the world beyond those most commonly studied and those most similar in structure to the student’s first language. LING 641, Field Methods, fulfills this requirement; alternatively, with the permission of the DGS, the student may instead take an appropriate language structure course, or one or more courses characterized as L3 or higher at Yale or the equivalent elsewhere. This requirement must be completed before the prospectus defense, when the student advances to Ph.D. candidacy.
Teaching Fellow/Research Assistant Requirements

The faculty regard teaching experience as an integral part of the graduate training program in Linguistics. All students serve as teaching fellows for a minimum of two terms, beginning in the first term of the third year. In addition, students must complete two additional terms of teaching assistantship. These may be either as a teaching fellow, or through participation in externally supported, supervised research as a research fellow. Research assistantships may be provided by the Linguistics faculty and by various Yale and Yale-affiliated units. Before accepting a research assistantship in fulfillment of this requirement, students must receive approval from the DGS. To be approved, a research assistantship must meet the following criteria:

1. It must be supervised by a Linguistics department faculty member or a faculty member from an affiliated unit, such as Haskins Laboratories or the Yale School of Medicine.
2. It must provide research experience that complements the student’s academic plan of study and is related to the student’s dissertation research plans.
3. It must provide at least ten hours of experience per week.

If an approved research assistantship is accepted that does not provide a stipend equal to the standard departmental stipend, a University Fellowship will be provided to augment the stipend so as to bring it up to the departmental standard.

MASTER’S DEGREES

M.Phil. Students in the doctoral program who complete all requirements for the Ph.D. apart from the submission of a completed dissertation (but including the presentation and successful defense of a dissertation prospectus) may petition for the M.Phil. degree.

M.A. (en route to the Ph.D.) Students in the doctoral program who successfully complete the course work, examinations, and work samples required by the end of the second year of graduate study (see above) may petition for the M.A. degree.

Program materials are available online at http://ling.yale.edu.

COURSES

LING 500a / ENGL 500a / MDVL 665a, Old English I  Emily Thornbury
The essentials of the language, some prose readings, and close study of several celebrated Old English poems.

LING 510a, Introduction to Linguistics  Jason Shaw
The goals and methods of linguistics. Basic concepts in phonology, morphology, syntax, and semantics. Techniques of linguistic analysis and construction of linguistic models. Trends in modern linguistics. The relations of linguistics to psychology, logic, and other disciplines.

LING 515a / SKRT 510a, Introductory Sanskrit I  Aleksandar Uskokov
An introduction to Sanskrit language and grammar. Focus on learning to read and translate basic Sanskrit sentences in the Indian Devanagari script. No prior background in Sanskrit assumed. Credit only on completion of SKRT 520/LING 525.
LING 519a or b, Perspectives on Grammar  Venetia Dayal
This biweekly, in-person meeting of all first-year students is led by faculty members and TFs. Students are asked to reflect upon the content introduced in the courses they are taking and share their understanding of how these multiple perspectives connect with each other. The goal is to provide a forum where students can synthesize their views on the grammar of natural language and at the same time create a cohort experience for first-year students. ½ Course cr

LING 538a / SKRT 530a, Intermediate Sanskrit I  Aleksandar Uskokov
The first half of a two-term sequence aimed at helping students develop the skills necessary to read texts written in Sanskrit. Readings include selections from the Hitopadesa, Kathasaritsagara, Mahabharata, and Bhagavadgita. Prerequisite: SKRT 520/LING 525 or equivalent.

LING 564a, Principles of Language Teaching and Learning  Nelleke Van Deusen-Scholl
Introduction to the basic principles of second-language acquisition theory, focusing on current perspectives from applied linguistics, sociolinguistics, and psycholinguistics. Topics include language teaching methodology, communicative and task-based approaches, learner variables, intercultural competence, and models of assessment.

LING 600a, Experimentation in Linguistics  Maria Pinango
Principles and techniques of experimental design and research in linguistics. Linguistic theory as the basis for framing experimental questions. The development of theoretically informed hypotheses, notions of control and confounds, human subject research, statistical analysis, data reporting, and dissemination.

LING 612a, Linguistic Change  Claire Bowern
Principles governing linguistic change in phonology and morphology. Status and independence of proposed mechanisms of change. Relations between the principles of historical change and universals of language. Systematic change as the basis of linguistic comparison; assessment of other attempts at establishing linguistic relatedness. Prerequisites: LING 512, 632, and 653.

LING 617a, Language and Mind  Maria Pinango
The course is an introduction to language structure and processing as a capacity of the human mind and brain. Its purpose is to bridge traditional domains in linguistics (phonetics, morphology, syntax) with cognition (developmental psychology, memory systems, inferential reasoning). The main topics covered are morphosyntax and lexical semantics, sentence composition and sentence processing, first- and second-language acquisition, acquisition under unusual circumstances, focal brain lesions, and language breakdown.

LING 620a, Phonetics I  Jason Shaw
Each spoken language composes words using a relatively small number of speech sounds, a subset of the much larger set of possible human speech sounds. This course introduces tools to describe the complete set of speech sounds found in the world’s spoken languages. It covers the articulatory organs involved in speech production and the acoustic structure of the resulting sounds. Students learn how to transcribe sounds using the International Phonetic Alphabet, including different varieties of English and languages around the world. The course also introduces sociophonetics, how variation
in sound patterns can convey social meaning within a community, speech perception, and sound change.

**LING 635a, Phonology II**  
Natalie Weber  
Topics in the architecture of a theory of sound structure. Motivations for replacing a system of ordered rules with a system of ranked constraints. Optimality theory: universals, violability, constraint types, and their interactions. Interaction of phonology and morphology, as well as relationship of phonological theory to language acquisition and learnability. Opacity, lexical phonology, and serial versions of optimality theory. Prerequisite: LING 632 or permission of the instructor.

**LING 653a, Syntax I**  
Raffaella Zanuttini  
An introduction to the syntax (sentence structure) of natural language. Introduction to generative syntactic theory and key theoretical concepts. Syntactic description and argumentation. Topics include phrase structure, transformations, and the role of the lexicon.

**LING 654b, Syntax II**  
Suzana Fong  
Recent developments in syntactic theory: government and binding, principles and parameters, and minimalist frameworks. In-depth examination of the basic modules of grammar (lexicon, X-bar theory, theta-theory, case theory, movement theory). Comparison and critical evaluation of specific syntactic analyses. Prerequisite: LING 653.

**LING 661a, Current Trends in Syntax**  
Suzana Fong  
Introduction to Chomsky's minimalist program, with comparison to earlier frameworks; close study of selected minimalist analyses that use the notion of phase and the agree operation. Discussion of Cinque and Rizzi's "cartographic approach"; the distribution of adjectives. Prerequisites: LING 553a and 654b, or permission of the instructor.

**LING 663a, Semantics I**  
Veneeta Dayal  
Introduction to truth-conditional compositional semantics. Set theory, first- and higher-order logic, and the lambda calculus as they relate to the study of natural language meaning. Some attention to analyzing the meanings of tense/aspect markers, adverbs, and modals.

**LING 664b, Semantics II**  
Staff  
The model-theoretic approach to semantics and its treatment of core linguistic phenomena. Topics include quantification, tense/aspect/modality, context and interpretation, and the semantics-pragmatics interface. Prerequisite: LING 663 or permission of the instructor.

**LING 680a, Morphology**  
Suzana Fong  
The theory of word structure within a formal grammar. Relation to other areas of grammar (syntax, phonology); basic units of word structure; types of morphology (inflection, derivation, compounding). Prerequisites: LING 632 and 653, or permission of the instructor.

**LING 750a, Topics in Language Documentation: Technology**  
Claire Bowern and Patrick Hall  
Using technology to support documentation for low-resource languages. Overview of linguistic diversity; techniques for language documentation; metadata; practical
exercises in using javascript to create materials for analysis. Instructor permission required.

LING 792a, From Morpho-syntax to Meaning: Definiteness, Indefiniteness, Genericity  Veneeta Dayal
This course explores how individual languages encode the notions of definiteness, indefiniteness, and genericity, and whether it is possible to predict such meanings when overt morpho-syntactic cues are absent. Languages with and without definite/indefinite articles provide critical test cases. Students read primary semantic literature on each of these three topics to gain a solid grounding in the theoretical issues. They also evaluate how empirical discoveries from different languages have shaped our understanding of the connection between morpho-syntax and semantics. The broader question considered here is the possibility of a restrictive theory of cross-linguistic variation in the interpretation of nominals. Prerequisite: LING 663 or permission of the instructor.

LING 794b, Asserting, Asking, Answering  Staff
This course introduces students to some of the current debates in the literature on questions. It articulates the relationship between declarative/interrogative structures and the speech acts of asserting and asking. It also probes the status of an assertion as an answer to a question. Some of the main approaches to the semantics of questions are introduced, with special attention to linguistic phenomena. These include pair-list answers, quantificational variability effects, scope marking, alternative questions, and polar question particles. The left periphery of interrogative clauses is explored by studying the behavior of interrogatives under different embedding predicates, and by locating the points at which direct question intonation and pragmatic bias in questioning can enter the derivation. Prerequisite: LING 663 or permission of the instructor.

LING 875b, Linguistic Meaning and Conceptual Structure  Staff
The meaning of a word or sentence is something in the human mind that has specific properties: it can be expressed (written/signed/spoken forms); it can be combined with other meanings; its expression is not language dependent; it connects with the world; it serves as a vehicle for inference; and it is hidden from awareness. The course explores these properties in some detail and, in the process, provides students with technical vocabulary and analytical tools to further investigate them. The course is thus intended for students interested in undertaking a research project on the structure of meaning: the nature of lexico-conceptual structure, that is, the structure of concepts, which we refer to as “word meanings,” and how they may be combined through linguistic and nonlinguistic means. The course’s ultimate objective is to bridge models of conceptual structure and models of linguistic semantic composition, identify their respective strengths and weaknesses, and explore some of the fundamental questions that any theory of linguistic meaning composition must answer. Evidence discussed will emerge from naturalistic, introspectional, and experimental methodologies.